



ANNUAL EQUITY OWNERSHIP/ BUSINESS PARTICIPATION REPORT - FY 2019

System Regulation 17.01.07, section 2.1 under reporting requirements it state that in compliance with Section 51.912 of the Texas Education Code, the system Board of Regents (board) must file a report identifying (a) all employees who conceive, create, discover, invent or develop intellectual property and have an equity interest in or serve as an employee, officer or member of the governing board of business entities that have agreements with the system relating to the research, development, licensing or exploitation of intellectual property for which they are the creator and in which the system has an ownership interest, and (b) all individuals who serve, at the request of the system, as a member of the governing board of business entities that have agreements with the system relating to the research, development, licensing or exploitation of intellectual property in which the system has an ownership interest. The report will be filed in accordance with the requirements of Section 51.005 of the Texas Education Code.

Business Entity	Employee Name	System Agency	Type of Agreement & Date of Execution	System Held Equity	Employee Held Equity	BOR Approval Date	Position(s) Held
Accelerator Technology Corporation	Peter McIntyre	TAMU/TEES	License Agreements 12/12/17 & 12/13/17	No	Yes	Jul-15	Director
Astero BioPharmaTechnologies, Inc.	Raimund Ober	TEES	Option License Agreement 12/21/2018	No	Yes	Sep-16	Owner
Astero BioPharma	Elizabeth Sally Ward Ober		Option License Agreement 12/21/2018	No	Yes	Feb-18	Officer, Member of the Board of Directors and/or an Employee
Bondwell Technologies	Sarah Bondos & David Howell	TAMU-HSC	License Agreement 8/27/2018	No	Yes	Oct-17	Officer, Member of the Board of Directors and/or an Employee
CartoFusion Technologies, Inc.	Saurabh Biswas	TEES	License Agreement 9/24/2015	Yes	No	Sep-15	Board Member - A&M System Representative
CartoFusion Technologies, Inc.	Ahmed Mahdy	TAMU-CC	License Agreement 9/24/2015	Yes	No	Sep-15	Board Member - A&M System Representative
Claridge-Culp	David Claridge Charles Culp	TEES/TAMU	License Agreement 6/11/2015	No	Yes	Feb-15	Directors
Corlnnova, Inc.	Saurabh Biswas	TEES	License Agreement 7/10/08	Yes	Yes	N/A	Co-founder

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CorInnova, Inc.	John Criscione	TEES	License Agreement 7/10/08	Yes	Yes	Feb-06	Founder; CTO
DexNeo, Inc	Gerard Cote, John Hanks	TEES/TAMU	License Agreement 2/22/2016	No	Yes	Feb-16	Officer, Member of the Board of Directors and an Employee
DxUpClose	James Biard	TEES/AGLR	License Agreement 4/4/2011	Yes	Yes	Feb-11	Board Member
ECM Technologies LLC	Brooke Russell	TAMU-HSC	License Agreement 8/22/2007	Yes	Yes	May-10	Manager
ECM Technologies LLC	Magnus Hook	TAMU-HSC	License Agreement 8/22/2007	Yes	Yes	Apr-16	Founder & President
EVO Conversion Systems LLC	Jeffrey Tomberlin, Johanthan Cammack, & Fengchun Yang	AGLR	License Agreement 3/20/2018	No	Yes	Feb-18	Officer, Member of the Board of Directors and/or an Employee
framergy	Hong-Cai Zhou	TAMU	License Agreement 10/24/2011	Yes	Yes	N/A	None
Freight Shuttle Partners, LLC	Stephen S. Roop	TTI	License Agreement 3/31/2005	No	Yes	Jul-10	Founder, Chairman
Genetx Biotherapeutics	Scott V. Dindott	AGLR	License Agreement 10/16/2018	Yes	Yes	Jan-19	Officer, Member of the Board of Directors and an Employee
Harmony Aeronautics, LLC	Mobile Benedict	TEES	License Agreement 6/23/2019	Yes	Yes	Apr-19	Officer, Member of the Board of Directors and an Employee
Incendium Technologies	Mustafa Akbulut	TEES	License Agreement 4/10/2017	No	Yes	Aug-18	Officer, Member of the Board of Directors, and an Employee
Lasync Technologies, Inc	Sebastian Hoyos	TEES	License Agreement 9/11/2018	No	Yes	Aug-18	Officer, Member of the Board of Directors, and an Employee
NeoHeart, LLC	Larry Lemanski	TAMU-C	License Agreement 4/24/2016	No	Yes	Mar-16	President , CEO, and Senior Research Scientist
Positive Motion dba Stand2Learn	Mark Benden	TAMU-HSC	License Agreement 10/27/2011	No	Yes	Feb-12	CEO
Post Oak Traffic Systems	Darryl D. Puckett, Michael J. Vickich, Darrell W. Borachardt and Anthony P. Voigt	TTI	License Agreement 9/20/2010	No	Yes	Sep-10	Company Employees

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Pulmotect	Magnus Hook	TAMU-HSC	License Agreement 9/24/2039	Yes	Yes	Sep-15	Director
Rescue Therapeutics	James Sacchetti	AGLR	License Agreement 2/28/2013	Yes	Yes	Aug-12	Chief Scientific Officer
Rescue Therapeutics	Steve Maxwell	TAMU-HSC	License Agreement 2/28/2013	Yes	Yes	N/A	None
RevaTis AM, Inc	Brett Cornwell	TAMUS	License Agreement 12/7/2015	Yes	No	Apr-16	Board Member - A&M System Representative
Shape Memory Therapeutics	Saurabh Biswas	TEES	License Agreement 9/15/2015	Yes	Yes	N/A	Co-founder
Shape Memory Therapeutics	Duncan Maitland	TEES	License Agreement 9/15/2015	Yes	Yes	Sep-15	Executive VP, Chairman, Co-founder
Shear Form	Ted Hartwig	TTI	License Agreement 6/2005	No	Yes	Aug-05	Officer, Member of the Board of Directors and/or an Employee
Small Molecule PPI	Kevin Burgess	TAMU	License Agreement 8/31/2017	No	Yes	Aug-17	CEO
SpKD Company	Stephen Safe	TAMU-HSC	License Agreement 1/21/2014	Yes	Yes	Dec-08	Manager
StarRotor	Chris Scotti	TEES	License Agreement 8/07/01; 6/5/03; 11/28/12	Yes	No	Nov-18	Board Member - A&M System Representative
StarRotor	Mark Holtzaple	TEES	License Agreement 8/7/01; 6/5/03; 4/4/06; 4/6/06; 8/23/07; 8/23/07	Yes	Yes	May-01	Officer and Member of the Board of Directors
Synshark	Joshua Yuan	AGLR	License Agreement 6/11/ 2014	No	Yes	N/A	None
Temple Therapeutics	Darwin Prockop	TAMU-HSC	License Agreement	No	Yes	May-10	Scientific Advisory Board
Texas EnteroSorbents, Inc.	Brett Cornwell	TAMUS	License Agreement 11/5/05	Yes	No	Dec-10	Board Member - A&M System Representative

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Thermal Expansion Solutions, Inc	James Monroe	TEES	License Agreement 7/22/2015	Yes	Yes	Feb-16	Founder & Materials Research Engineer
Triseum	Andre Thomas	TAMU	License Agreement 11/3/2015, 5/19/2016, 3/21/2018, 8/13/2018	No	Yes	Apr-15	Lead Inventor, Director & President
Veros Systems, Inc.	Alexander Parlos	TEES	License Agreement 5/17/06	Yes	Yes	Aug-07	Co-founder and CTO

AGLR	Texas A&M AgriLife Research	TAMU-C	Texas A&M University - Commerce
TAMU	Texas A&M University	TEES	Texas A&M Engineering Experiment Station
TAMU-HSC	Texas A&M University Health Science Center	TTI	Texas A&M Transportation Institute
TAMUS	Texas A&M University System		